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NATURAL LANGUAGE QUERY SYSTEM DESIGN FOR INTERACTIVE INFORMATION STORAGE AND RETRIEVAL SYSTEMS: PRESENTATION VISUALS

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The University of Southwestern Louisiana Center for Advanced Computer Studies Lafayette, Louisiana

April 22, 1985

NATURAL LANGUAGE QUERY SYSTEM DESIGN

FOR

INTERACTIVE INFORMATION STORAGE AND RETRIEVAL SYSTEMS

A Thesis

Presented to

The Graduate Faculty of

The University of Southwestern Louisiana

In Partial Fulfillment of the

Requirements for the Degree

Master of Science

I-Hsiung Liu

April 1985

OVERVIEW

- * INTRODUCTION
 - * WHY STUDY CASUAL USER/SYSTEM INTERACTION
 - * RESEARCH OBJECTIVES AND METHODOLOGY
 - * SCOPE OF THE RESEARCH
- * IMPORTANCE OF NATURAL LANGUAGE QUERY SYSTEMS DEVELOPMENT
 - * DYNAMICS OF INFORMATION STORAGE AND RETRIEVAL SYSTEMS
 - * FORMAL QUERY INTERFACE AND INFORMATION RETRIEVAL
 - * NATURAL LANGUAGE INTERFACE AND INFORMATION RETRIEVAL
 - * FORMAL QUERY APPROACH AND NATURAL LANGUAGE APPROACH

OVERVIEW (CONT'D)

- * NATURAL LANGUAGE QUERY SYSTEMS DEVELOPMENT
 - * NATURAL LANGUAGE PROCESSING
 - * LANGUAGE CAPABILITIES OF NATURAL LANGUAGE QUERY SYSTEMS
 - * PHASES OF NATURAL LANGUAGE PROCESSING
- * FRAMEWORK
 - * DESIGN METHODOLOGY
 - * INTERFACES OF NATURAL LANGUAGE QUERY SYSTEMS
 - * INFORMATION RESOURCES FOR NATURAL LANGUAGE PROCESSING
 - * FUNCTIONAL FEATURES OF THE SYSTEM
- * CONCLUSIONS

WHY STUDY CASUAL USER/SYSTEM INTERACTION

- * CASUAL USERS: THE POTENTIALLY LARGEST USER GROUP
- * NATURE OF CASUAL USERS
 - * IRREGULAR INTERACTION WITH THE SYSTEM
 - * LACK KNOWLEDGE ABOUT COMPUTERS, PROGRAMMING, ETC.
 - * NOT WILLING TO LEARN ANY ARTIFICIAL LANGUAGE
- * PROBLEMS OF CONVENTIONAL MULTI-LEVEL QUERY SYSTEMS
 - * HUMAN INTERFACES AS A ONE-WAY COMMUNICATION PROCESS
 - * LANGUAGE INTERFACES FOR EXPERIENCED USERS
 - * HUMAN INTERMEDIARY OFTEN STILL REQUIRED

OBJECTIVES AND METHODOLOGY

* OBJECTIVES

* PROPOSE A FRAMEWORK FOR EXPLORING NATURE,
SCOPE, AND CONTENT OF THE EVOLVING TOPIC OF
NATURE LANGUAGE QUERY SYSTEMS (NLQS)

* METHODOLOGY

- * EXAMINE THE RATIONALE FOR NLQS DEVELOPMENT
- * REVIEW CONCEPTS AND APPROACHES TO NLQS
 DEVELOPMENT
- * PRESENT THE FRAMEWORK FOR NLQS DEVELOPMENT

SCOPE OF THE RESEARCH

- * THE TARGET SYSTEM OF THE RESEARCH
 - * INFORMATION STORAGE AND RETRIEVAL SYSTEMS (IS&R SYSTEMS)
- * REASONS FOR STUDYING IS&R SYSTEMS
 - * WELL-DEFINED PROBLEM AREA
 - * WELL-UNDERSTOOD SEMANTICS
 - * WELL-STRUCTURED DATA ORGANIZATION
 - * COLLECTIONS OF PUBLICATIONS
 - * DATA OF NATURAL LANGUAGE TEXTUAL FORM
 - * PRE-PROCESSED DATA
- * THE PRIMARY FUNCTION OF IS&R SYSTEM
 - * INFORMATION RETRIEVAL

DYNAMICS OF IS&R SYSTEMS

- * FOUR COMPONENTS OF MAN/COMPUTER COMMUNICATION PROCESS
 - * TASK OF INFORMATION RETRIEVAL
 - * USERS
 - * USER INTERFACES
 - * DATABASES

- * LEVEL OF DIFFICULTY
 - * COMPLEXITY
 - * NUMBER OF STATES (NUMBER OF EVENTS OR WAYS OF ARRANGING THEM) IN A PARTICULAR APPLICATION
 - * DETERMINISM
 - * EXTENT TO WHICH THE OCCURRENCE OF EVENTS
 OR THEIR SEQUENCE CAN BE PREDICTED IN
 ADVANCE

- * KNOWLEDGE REQUIRED
 - * DOMAIN-SPECIFIED KNOWLEDGE
 - * INFORMATION EXISTING IN THE DATABASE ENVIRONMENT E.G., WELL-STRUCTURED DATABASE STRUCTURES
 - * WORLD KNOWLEDGE
 - * INFORMATION EXISTING IN REAL WORLD
 ENVIRONMENT E.G., UNSTRUCTRUED DATA OR
 LINGUISTIC DATA

- * DATABASES
 - * MODELS OF CERTAIN REALITY
 - * LEVELS OF ABSTRACTIONS
 - * ENSURE BOTH PHYSICAL AND LOGICAL DATA INDEPENDENCE
 - * ALLOW RETRIEVED DATA CLOSER TO USER'S VIEW

- * USERS
 - * END USERS
 - * CUSTOMERS OF COMPUTER SYSTEMS
 - * PROGRAMMERS
 - * BULIDERS OF APPLICATION PROGRAMS
 - * DATABASE ADMINISTRATORS
 - * MANAGERS OF INDIVIDUAL DATABASES

- * USER INTERFACES
 - * CONCEPTS OF HIERARCHY OF USER LANGUAGES
 - * EACH INTERFACE IS DEFINED IN TERMS OF A LOWER INTERFACE
 - * EACH INTERFACE MAY SERVE AS THE BASIS
 FOR THE DEFINITION OF A HIGHER INTERFACE
 - * EXACTLY ONE INTERFACE SERVES AS THE ULTIMATE BASIS FOR ALL OTHER INTERFACES

- * USER INTERFACES (CONT'D)
 - * ADVANTAGES OF THE CONCEPT
 - * IT IS ALWAYS POSSIBLE TO DEVELOP AN

 INTERFACE ON TOP OF THE CURRENT LANGUAGE

 HIERARCHY
 - * THE DEVELOPMENT OF A HIGHER INTERFACE REDUCES THE BURDEN ON END USERS
 - * THE DEVELOPMENT OF HIGHER INTERFACE
 IMPLIES THE REDUCTION OF SYNTACTIC
 RESTRICTION ON THE USE OF LANGUAGE
 FACILITIES
 - * TWO MAJOR TRENDS OF USER INTERFACES
 DEVELOPMENT

FORMAL QUERY INTERFACE AND INFORMATION RETRIEVAL

- * TASK OF FORMAL QUERY DATABASE SEARCHING
 - * NARROW DOWN THE SEARCH SPACE
 - * MAJOR FUNCTIONS
 - * EXIST / COUNT
 - * PRIMARY OPERATIONS -- FETCH OPERATIONS
 - * DEMANDS ON DATABASE DESIGN
 - * OPTIMIZE THE SPEED OF FETCH OPERATIONS
 - * INVERTED FILE STRUCTURES
 - * SEARCH TERM CLASSIFICATIONS
 - * KNOWLEDGE REQUIRED
 - * SYSTEM -- DOMAIN SPECIFIED KNOWLEDGE
 - * USERS
 - * FORMAL LANGUAGE SYNTAX
 - * SEARCH TERMS AND INDEXES
 - * BOOLEAN AND RELATIONAL OPERATIONS

N. L. INTERFACE AND INFORMATION RETRIEVAL

- * PURPOSE OF NLQS DEVELOPMENT
 - * COPE WITH THE NATURE OF CASUAL USERS
 - * ALLOW USERS TO EXPRESS THEIR NEEDS BASED
 ON THEIR OWN PERCEPTIONS
 - * ALLOW USERS PERFORM QUERIES BASED ON

 THEIR ABILITY TO DEAL WITH NATURAL

 LANGUAGE SYNTAX
 - * ONE-STEP TRANSLATION PROCESS
- * KEY ISSUES OF NATURAL LANGUAGE QUERY SYSTEM
 DEVELOPEMENT
 - * DEVELOP SYSTEM ABILITY TO PERFORM NATURAL LANGUAGE TRANSFORMATION
 - * IMPACT OF THE DEVELOPMENT ON OTHER
 INFORMATION RETRIEVAL COMPONENTS IN THE
 SYSTEM

FORMAL QUERY APPROACH AND N. L. APPROACH

- * SIMILARITY
 - * BOTH ALLOW A USER TO SPECIFY "WHAT" HE WANTS
 THE MACHINE TO DO
- * DIFFERENCES
 - * USER ORIENTED VERSUS SYSTEM ORIENTED
 - * USER REQUIREMENTS
 - * NATURAL OF THE LANGUAGE FACILITY
 - * LANGUAGE TRANSLATION PROCESS

FORMAL QUERY APPROACH AND N. L. APPROACH (CONT'D)

- * ARGUMENTS AGAINST NATURAL LANGUAGE APPROACH
 - * AMBIGUOUS AND IMPRECISE
 - * TIME CONSUMING
- * RECOGNITION OF THE IMPORTANCE OF NATURAL LANGUAGE APPROACH

"WHILE THE QUESTION OF THE EFFECTIVE INTERFACE BETWEEN MAN/MACHINE INTERACTION IS RAISED, THE ISSUES OF CONCERN ARE THE HUMAN FACTOR ISSUES."

NATURAL LANGUAGE PROCESSING

- * ACTIVITIES OF NATURAL LANGUAGE PROCESSING
 - * TRANSLATING NATURAL LANGUAGE STATEMENTS
 INTO THEIR FORMAL COUNTERPARTS
 - * GENERATING NATURAL LANGUAGE RESPONSES TO USER'S REQUESTS
- * INTELLIGENT USE OF SYSTEM KNOWLEDGE
 - * TYPES OF SYSTEM KNOWLEDGE
 - * USE OF SYSTEM KNOWLEDGE

NATURAL LANGUAGE PROCESSING (CONT'D)

- * ISSUES RELEVANT TO NATURAL LANGUAGE PROCESSING
 - * LANGUAGE CAPABILITIES
 - * PHASES OF NATURAL LANGUAGE PROCESSING
 - * OPERATIONS REQUIRED FOR EACH PHASE
 - * KNOWLEDGE APPLIED IN EACH PHASE

LANGUAGE CAPABILITIES OF NLQS

- * DIFFICULTIES OF IDENTIFYING LANGUAGE CAPABILITIES
 - * COMPLEXITY OF NATURAL LANGUAGE
 - * UNPREDICTABILITY OF HUMAN ERRORS
- * LANGUAGE CAPABILITIES EXHIBITED IN MOST SYSTEMS
 - * ANSWER DIRECT QUESTIONS
 - * HANDLE SIMPLE USE OF PRONOUNS AND ELLIPSIS -
 - * ANALYZE NULL ANSWERS
 - * RESTATEMENT OF USER INPUTS
 - * CORRECT TYPOGRAPHIC OR SPELLING ERRORS

PHASES OF NATURAL LANGUAGE PROCESSING

- * SYNTACTIC ANALYSIS
 - * LEXICAL ANALYSIS -- CLEAN UP THE USER INPUT
 - * WORD BY WORD TRANSFORMATION
 - * LEXICON
 - * PARSING -- GENERATE TREE STRUCTURE OF INPUT
 - * TEMPLATE MATCHING PARSER
 - * PHRASE STRUCTURE GRAMMAR PARSER
 - * TRANSFORMATIONAL GRAMMAR PARSER
 - * SEMANTIC GRAMMAR PARSER

PHASES OF NATURAL LANGUAGE PROCESSING (CONT'D)

- * SEMANTIC ANALYSIS
 - * GENERATE INTERNAL TARGET REPRESENTATIONS
 FROM NATURAL LANGUAGE INPUTS
 - * TYPES OF INTERNAL TARGET REPRESENTATIONS
 - * WEIGHTED VECTORS
 - * FIRST-ORDERED PREDICATES
 - * SEMANTIC NETWORKS
 - * CASE FRAMES

PHASES OF NATURAL LANGUAGE PROCESSING (CONT'D)

- * EXECUTION PHASE
 - * PERFORM DATABASE SEARCHING
 - * APPROACHES TO EXECUTION
 - * DIRECTLY USING INTERNAL TARGET REPRESENTATION
 - * USING BOOLEAN CONDITIONS
 - * USING SYSTEM-DEFINED FORMAL QUERY REPRESENTATIONS

PHASES OF NATURAL LANGUAGE PROCESSING (CONT'D)

- * RESPONSE GENERATION
 - * PROVIDE PRECISE NATURAL LANGUAGE RESPONSE
 - * TYPES OF RESPONSES
 - * DETECTED/CORRECTED ERRORS
 - * RESTATEMENT OF LOGICALLY COMPLETED QUERY
 - * DIRECT AND CORRECT ANSWERS TO THE QUERY.
 - * COOPERATIVE RESPONSES

FRAMEWORK

- * GOALS OF THE FRAMEWORK
 - * DESCRIBE DESIGN METHODOLOGY
 - * STATE MAJOR DESIGN PROBLEMS
 - * DESCRIBE INTERFACES OF THE SYSTEM
 - * DESCRIBE FLOW OF CONTROL

 - * DESCRIBE RELATIONSHIPS BETWEEN SYSTEM COMPONENTS

DESIGN METHODOLOGY

- * PROBLEMS OF TRADITIONAL APPROACH
 - * UNABLE TO PROVIDE COOPERATIVE ANSWERS
 - * UNABLE TO "UNDERSTAND" THE USER'S INPUTS
 - * RESTRICTED NATURAL LANGUAGE INPUTS
- * BASIC CONSIDERATIONS OF THE DESIGN
 - * SYSTEM'S ABILITY TO "UNDERSTAND" NATURAL LANGUAGE
 - * SYSTEM'S REQUIRED LINGUISTIC KNOWLEDGE
 - * GRAMMAR RULES
 - * SEMANTIC RULES
 - * INTERNAL REPRESENTATIONS OF NATURAL LANGUAGE

DESIGN METHODOLOGY (CONT'D)

- * DESIGN APPROACH
 - * EXPERIMENTAL APPROACH
 - * RESTRICTED DOMAIN KNOWLEDGE
 - * RESTRICTED LANGUAGE CAPABILITIES
- * MAJOR DESIGN PROBLEMS
 - * REDUNDANT WORDS OR PHRASES
 - * AMBIGUITY AND VAGUENESS
 - * ERROR DETECTION AND CORRECTION

INTERFACES OF NLQS

- * NATURAL LANGUAGE INTERFACE
 - * INTERFACE OF CASUAL USER/SYSTEM INTERACTION
 - * MAJOR CONCERNS
 - * SYNTACTIC ANALYSIS OF NATURAL LANGUAGE INPUTS
 - * INTERPRETATION OF NATURAL LANGUAGE -- INPUTS
 - * NATURAL LANGUAGE RESPONSE GENERATION
 - * USER/SYSTEM DIALOGUE CONTROL

INTERFACES OF NLQS (CONT'D)

- * FORMAL LANGUAGE INTERFACE
 - * INTERMEDIATE INTERFACE BETWEEN NATURAL LANGUAGE INTERFACE AND DATABASE INTERFACE
 - * MAJOR CONCERNS
 - * TARGET REPRESENTATION AND FORMAL QUERY
 TRANSFORMATION
 - * PORTABILITY
- * DATABASE INTERFACE
 - * EXECUTION LEVEL

INFORMATION RESOURCES FOR NL PROCESSING

- * DOMAIN SPECIFIED KNOWLEDGE
 - * INFORMATION ABOUT PART OF A DOCUMENT FILE
 - * SOURCES OF THE KNOWLEDGE
 - * BIBLIOGRAPHIC DATABASES
 - * INVERTED FILES AND THESAURUS
- * WORLD KNOWLEDGE
 - * LINGUISTIC KNOWLEDGE
 - * INFORMATION ABOUT A DOCUMENT FILE
 - * SOURCE OF THE KNOWLEDGE
 - * SEMANTIC NETWORKS

FUNCTIONAL FEATURES OF THE SYSTEM

- * PARSER
- * INTERPRETER
- * FORMAL QUERY GENERATOR
- * NATURAL LANGUAGE RESPONSE GENERATORS
 - * ERROR GENERATOR
 - * RESTATEMENT GENERATOR
 - * REPLY GENERATOR

FUNCTIONAL FEATURES OF THE SYSTEM (CONT'D)

- * PARSER
 - * LEXICAL ANALYZER
 - * DELETE NOISE WORDS
 - * REPLACE INPUT WORDS BY THEIR LEXICON CLASS ENTRIES
 - * SUBSTITUTE ROOTS AND INFLECTION MARKERS-
 - * PHRASE CONSTRUCTION ROUTINE
 - * SPELLING CORRECTION AND ENTRY ADDITION ROUTINE

FUNCTIONAL FEATURES OF THE SYSTEM (CONT'D)

- * PARSER (CONT'D)
 - * ATN PARSER
 - * NON-DETERMINISTIC ANALYSIS
 - * RESOLVE LEXICAL AMBIGUITY
 - * QUALIFIER HANDLING
 - * ELLIPSIS AND PRONOUN REFERENCE HANDLING
 - * FAILURE OF PARSING

FUNCTIONAL FEATURES OF THE SYSTEM (CONT'D)

- * INTERPRETER
 - * GENERATE A SUBSET OF SEMANTIC NETWORK FOR USER INPUTS
 - * CASE FRAMES
 - * REPRESENTING A SUBSET OF SEMANTIC NETWORK
 - * IDENTIFIED BY THE VERB OR CHARACTERISTICS:-
 - * CONSISTING OF A LIST OF INTERRELATED NP'S
 - * SEMANTIC AMBIGUITY HANDLING
 - * FAILURE OF SEMANTIC ANALYSIS

FUNCTIONAL FEATURES OF THE SYSTEM (CONT'D)

- * FORMAL QUERY GENERATOR
 - * TRANSLATE FILLED-IN CASE FRAMES INTO ONE OR MORE FORMAL QUERY EXPRESSIONS
 - * DETERMINE THE TYPES OF THE USER REQUEST
 - * SELECT SPECIFIC SEARCH COMMAND WORD(S)
 - * SELECT SEARCH TERMS
 - * EXAMPLE

CONTAIN: DESTINATION

= TEXT TERM(SUBJ) = "COMPUTER"

OBJECT - DOC REF CODE(OBJ) = ?

FORMAL QUERY:

SELECT TEXT TERM EQ COMPUTER

FUNCTIONAL FEATURES OF THE SYSTEM (CONT'D)

- * NATURAL LANGUAGE RESPONSE GENERATORS
 - * GENERATE APPROPRIATE FEEDBACK TO THE USER
 - * ERROR GENERATOR
 - * SYNTACTIC ERRORS
 - * SEMANTIC ERRORS
 - * RESTATEMENT GENERATOR
 - * TO OBTAIN THE USER'S APPROVAL
 - * RESTATEMENT CONSTRUCTION
 - -- CASE FRAMES
 - * REPLY GENERATOR
 - * OUTPUT SEARCH RESULTS

CONCLUSION

- * ACHIEVEMENTS OF THE FRAMEWORK
 - * PROVIDE A SOLID THEORETICAL FOUNDATION
 FOR IS&R NLQS DEVELOPMENT
 - * CONSTRUCT A SOUND IS&R NLQS ARCHITECTURE
- * THE THEORETICAL FOUNDATION
 - * DYNAMICS OF INFORMATION RETRIEVAL
 - * LEVELS OF ABSTRACTIONS
 - * HIERARCHY OF USER LANGUAGES
 - * NATURAL LANGUAGE PROCESSING
 - * EXPERIMENTAL APPROACH TO SYSTEM DEVELOPMENT

CONCLUSION (CONT'D)

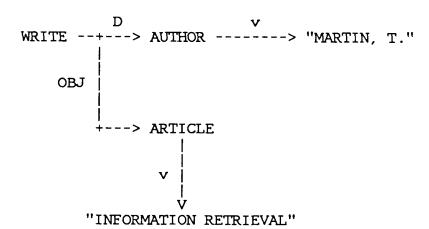
- * THE ARCHITECTURE
 - * MAJOR ASSUMPTIONS
 - * NLQS DEVELOPMENT IS TO BUILD A SYSTEM WHICH CAN SIMULATE HUMAN BEHAVIOR
 - * NLQS DEVELOPMENT WOULD AFFECT THE DYNAMIC RELATIONSHIPS AMONG SYSTEM COMPONENTS
 - * INTERFACES
 - * PORTABILITY
 - * EXTENDABILITY
 - * FUNCTIONAL MODULES
 - * FUNCTIONAL DECOMPOSITION
 - * BLACK BOX APPROACH

* INTERPRETER

WRITE: DESTINATION

= AUTHOR (OBJ)

OBJECT = AUTHOR (OBJ)

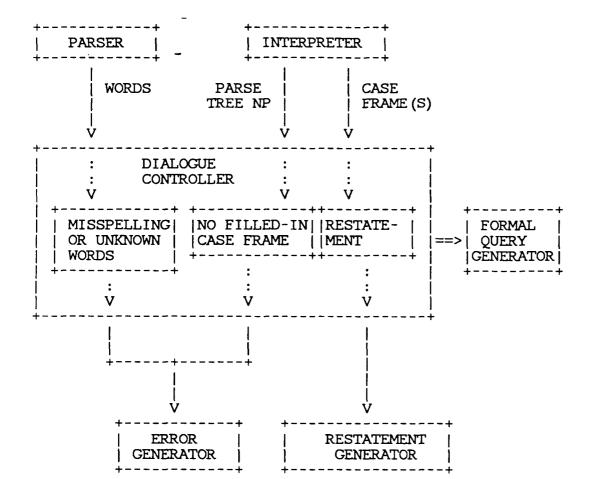


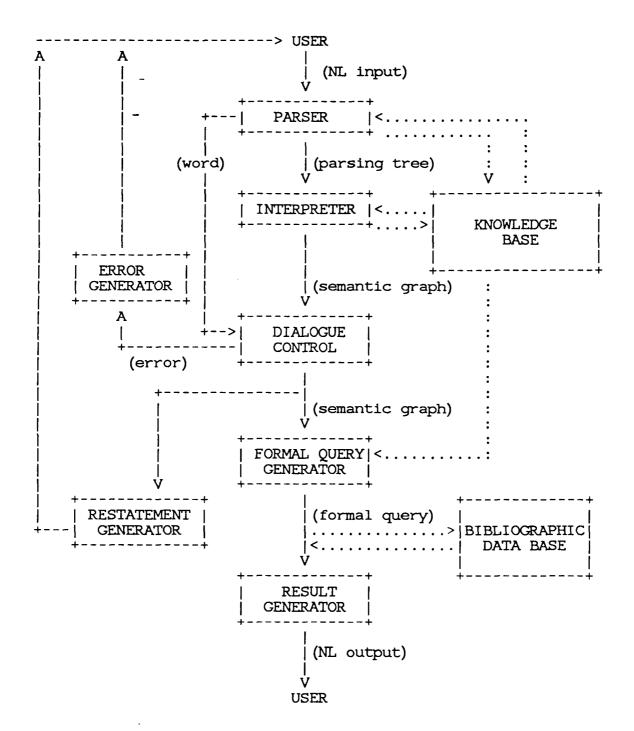
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INFORMATION	1, 2, 4, 7, 9	5
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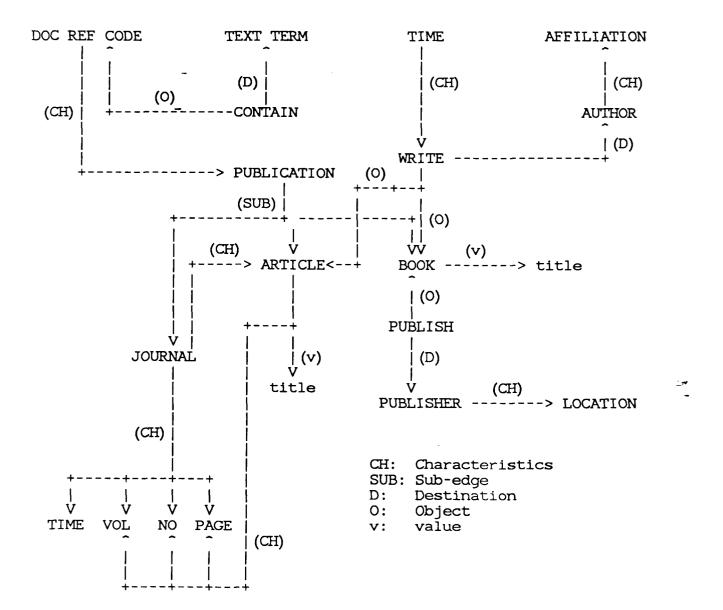
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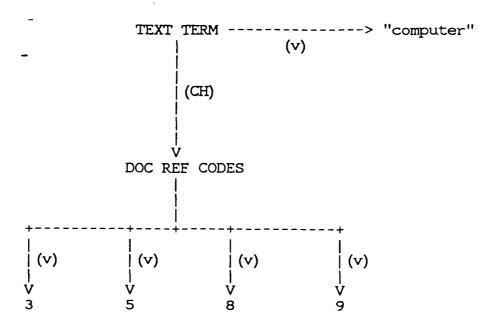
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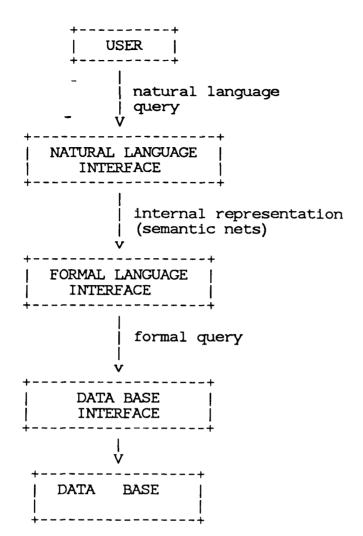
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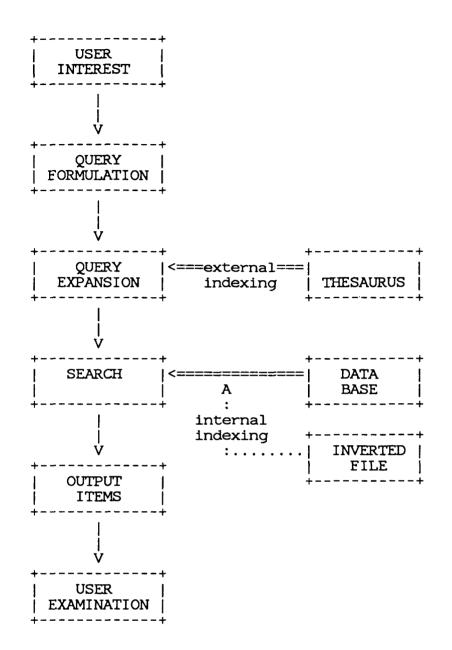


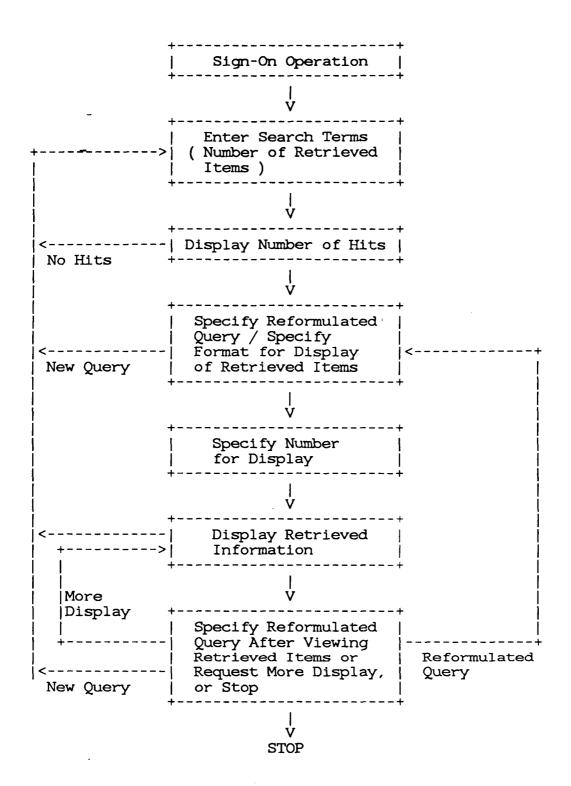












Fact REALITY USER'S LOGICAL Information Information Structure Description Structure LEVEL Language (ISDL) (logical data independence) SYSTEM'S LOGICAL Data Structure Data Description Language Structure LEVEL (DDL) (physical data independence) PHYSICAL STORAGE Storage Structure Storage Description Language LEVEL Structure

METHODOLOGY	CHARACTERISTICS		
FACT	* PRE-PR	OCESSED DATA	
RETRIEVAL	* EXTENS	IVE USE OF FETCH OPERATION	
	* RESULT	S DIRECTLY OBTAINED FROM	
	DATABA	SES	
	* SIMPLE	REQUEST-RESPONSE SEQUENCE	
STATISTICAL	* WELL-S	TRUCTURED RAW DATA	
INFERENCE	* EXTENS	IVE USE OF GET-NEXT	
INFERENCE	OPERAT	IONS	
	* RESULT	S OBTAINED FROM DATABASE	
	REFERE	NCING	
	* DATA R	EDUCTION PROCESS	
DEDUCTIVE	* SEMI-S	TRUCTURED DATA AND RULES	
INFERENCE	* SYSTEM	MUST ANSWER WHY-QUESTIONS	
	* RESULT	S DEPENDENT ON THE SEARCH FOR	
	POSSIB	LE RELATIONS BETWEEN DATA	

Task	Documental Retrieval	Generalized Data Management	Question- Answering	
	Fact Retrieval	Fact Retrieval	Fact Retrieval	
Retrieval Methodology		Statistical Inference 	Statistical Inference Deductive Inference	
Knowledge Required	Domain Specified Knowledge	Domain Specified Knowledge	Domain Specified and World Knowledge	
Level of Difficulty Low		Medium	High	

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